

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2008 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

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J. Heath Shuler

(Full Name)

828-255-6401

(Daytime Telephone)

2009 JUL 15 PM 2:35

REPRESENTATIVES  
(Office Use Only)

Filer Status  
☒ Member of the U.S. House of Representatives  
State: NC  
District: 11

☐ Officer Or Employee  
Employing Office:

Report Type  
☒ Annual (May 15)  
☐ Amendment  
☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name J. Heath Shuler

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
DC	FA 529 Port 2019-OCL CL A (529 Savings Plan for Son)	\$15,001 - \$50,000	None	NONE	
DC	FA 529 Port 2022-CL A (529 Savings Plan for Daughter)	\$1,001 - \$15,000	None	NONE	
	Wachovia Securities (Money Market accounts)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
DC	Amercian Capital Ltd ACAS	\$1,001 - \$15,000	None	NONE	
DC	Apollo Investment Corp.	\$1,001 - \$15,000	Dividends/Capital Gains	\$1,001 - \$2,500	
DC	Enterprise Products Partners EPD	\$1,001 - \$15,000	Partnership	\$201 - \$1,000	

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# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name J. Heath Shuler

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DC	Ustar.com Inc.	\$1 - \$1,000	None	NONE		
	Wachovia Securities (Personal IRA) (See Exhibit for individual securities listings)	\$100,001 - \$250,000	None	NONE		
	NFL Player Annuity & Ins.	\$1,001 - \$15,000	None	NONE		
	NFL Player Second Career Savings Plan - 401(k): 1. Vaule Fund - Combination of Dodge & Cox Fund and Thornburg Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000		
	2. Growth Fund - Combination of Amercan Funds Growth Fund of America TXW Galileo, Select Equity Fund and T. Rowe Price Growth Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000		
	3. Small Cap Core Fund - Combination of Areil Rund and Columbia Acorn USA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
	4. Small Cap Core Fund - T. Rowe Price Small CAP Stock Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
	5. International Fund - Combination of Templeton Foreign Fund and William Blair Intl Growth Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
	SunTrust Investment Services - Ridgworth Prime Quality Money Market Account	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000		
	Mountain Commerce Bank (Personal Account)	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500		

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# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SunTrust Bank (Personal Account)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Thrift Savings Plan (Funds for Stability)	\$15,001 - \$50,000	None	NONE	
The Highlands Property Group, LLC (management company that manages investments)	\$1,000,001 - \$5,000,000	Partnership	NONE	
Shuler Properties, LLC - See Footnote 1	\$1,000,001 - \$5,000,000	Partnership	NONE	
Additional securities listed on Exhibit	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	

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# SCHEDULE IV - TRANSACTIONS

Name J. Heath Shuler

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	River Crest Development, LLC (Real estate development company - interest is no longer included on Schedule III due to the fact that valuation no longer exceeds \$1,000 - the company no longer has any assets. However, no money was paid or rec'd by Shuler.	Other		\$0

	The Cove at Blackberry Ridge, LLC (See note above)	Other		\$0
	The River at Shining Rock, LLC (See note above)	Other		\$0
	River Crest Marketing, LLC (No longer in business)	Other		\$0
	Fountain Square Shopping Center - Knoxville, TN	S	4-29-08	\$1,000,001 - \$5,000,000
	See attached for securities transactions	Other	Various	\$100,001 - \$250,000

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# SCHEDULE V - LIABILITIES

Name J. Heath Shuler

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank of America	Commercial Line of Credit	\$100,001 - \$250,000
	United Community Bank	Commercial Line of Credit	\$50,001 - \$100,000

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# FOOTNOTES

Name J. Heath Shuler

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	As of 12/31/08, Shuler Properties, LLC owns three pieces of residential real property. Also, as of 12/31/08, Shuler Properties, LLC owns a 50% interest in QuailSouth, LLC and Quailfeather Holdings, LLC; both of these LLC's are related to a quail hunting plantation located in Albany, GA. Quailfeather Holdings, LLC owns real property and QuailSouth, LLC leases the real property from Quailfeather Holdings, LLC for use in QuailSouth's hunting operation.	Shuler Properties, LLC - See Footnote 1
2	Schedule III	NFL Player Second Career Savings Plan - 401(k) consists of 5 mutual funds, which are listed as items 1-5 immediately following the entry for NFL Player Savings Plan on Schedule III	NFL Player Second Career Savings Plan

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## Attachment for Schedules III and IV

Description of Asset	Schedule III			Schedule IV		
	Year-End Value of Asset	Type of Income	Amount of Income	Type of Transaction	Date	Amount of Transaction
AEGON N V ORD AMER REG				S	01/07/08	\$1,001 - \$15,000
ALCATEL LUCENT ADR				S	01/07/08	\$1,001 - \$15,000
AMGEN INC.		None	None	S	01/07/08	\$1,001 - \$15,000
ASTRAZENECA PLC				S	01/07/08	\$1 - \$1,000
AZKO NOBEL N V ADR				S	01/07/08	\$1,001 - \$15,000
AT&T INC.				PS	01/07/08	\$1,001 - \$15,000
				S	07/01/08	\$1,001 - \$15,000
BOSTON SCIENTIFIC				S	01/07/08	\$1 - \$1,000
BRISTOL MYERS SQUIBB CO.				PS	1/7/2008	\$1,001 - \$15,000
				PS	4/10/2008	\$1,001 - \$15,000
				S	7/1/2008	\$1,001 - \$15,000
CITIGROUP INC.				S	01/07/08	\$1,001 - \$15,000
COUNTRYWIDE FINANCIAL CORP.				S	01/07/08	\$1,001 - \$15,000
DELL INC.				S	01/07/08	\$1,001 - \$15,000
DEUTSCHE TELECOM AG SPON ADR				S	01/07/08	\$1,001 - \$15,000
DOW CHEMICAL COMPANY				S	01/07/08	\$1,001 - \$15,000
EASTMAN KODAK COMPANY				S	01/07/08	\$1,001 - \$15,000
ELECTRONIC DATA SYSTEM CORP				S	01/07/08	\$1,001 - \$15,000
FANNIE MAE ASSN				S	01/07/08	\$1,001 - \$15,000
FEDERAL HOME LOAN MTG				S	01/07/08	\$1,001 - \$15,000
FIFTH THIRD BANK				S	01/07/08	\$1,001 - \$15,000
FORD MTR CO DEL				S	01/07/08	\$1,001 - \$15,000
FRANCE TELECOM SPON ADR				S	01/07/08	\$1,001 - \$15,000
FUJIFILM HLDGS CORP ADR 2 ORD				S	01/07/08	\$1,001 - \$15,000
GANNETT CO INC DEL				S	01/07/08	\$1,001 - \$15,000
GENERAL ELECTRIC	\$1,001 - \$15,000	Dividends	\$1 - \$1,000			
GENERAL MOTORS CORP				S	01/07/08	\$1,001 - \$15,000
GLAXOSMITHKLINE PLC-ADR				S	01/07/08	\$1,001 - \$15,000
HITACHI LTD ADR 10 COM				S	01/07/08	\$1,001 - \$15,000
HOME DEPOT INC.				S	01/07/08	\$1,001 - \$15,000
HSBC HOLDINGS PLC SPON				S	01/07/08	\$1,001 - \$15,000
INTEL CORP				PS	01/07/08	\$1,001 - \$15,000
				S	07/01/08	\$1,001 - \$15,000
JOHNSON & JOHNSON				PS	01/07/08	\$1,001 - \$15,000
				PS	04/10/08	\$1 - \$1,000
				S	07/01/08	\$1,001 - \$15,000
KONINKLIJKE AHOLD ADR				S	01/07/08	\$1,001 - \$15,000
KT CORP SP ADR				S	01/07/08	\$1,001 - \$15,000
MARKS & SPENCER PLC ADR				S	01/07/08	\$1,001 - \$15,000
MARSH AND MC LENNAN COMPANIES INC				S	01/07/08	\$1,001 - \$15,000
MCLATCHY COMPANY CL A				S	01/07/08	\$1,001 - \$15,000
MICRON TECHNOLOGY INC				S	01/07/08	\$1,001 - \$15,000
MICROSOFT CORP				PS	01/07/08	\$1,001 - \$15,000
				PS	04/10/08	\$1 - \$1,000
				S	07/01/08	\$1,001 - \$15,000
MILLEA HOLDINGS INC ADR				S	01/07/08	\$1,001 - \$15,000
MITSUBISHI UFJ FINANCIAL ADR				S	01/07/08	\$1,001 - \$15,000
MITSUMI SUMITOMO INS				S	01/07/08	\$1 - \$1,000
MIZUHO FINANCIAL GRP ADR				S	01/07/08	\$1,001 - \$15,000
MOTOROLA INCORPORATED				S	01/07/08	\$1,001 - \$15,000
NATIONAL CITY CORP				S	01/07/08	\$1,001 - \$15,000
NESTLE S A REG ADR				S	01/07/08	\$1,001 - \$15,000
NIPPON TELEGRAPH & TELEPHONE CORP				S	01/07/08	\$1,001 - \$15,000
NORTEL NETWORKS CORP				S	01/07/08	\$1 - \$1,000
PFIZER INCORPORATED				S	01/07/08	\$1,001 - \$15,000
PORTUGAL TELECOM				S	01/07/08	\$1,001 - \$15,000
SAFEWAY INC NEW SWY	\$1,001 - \$15,000	Dividends	\$1 - \$1,000	PS	04/10/08	\$1,001 - \$15,000
SANOI AVENTIS ADR				S	01/07/08	\$1,001 - \$15,000
SARA LEE CORP				S	01/07/08	\$1,001 - \$15,000
STMICROELECTRONICS N V				S	01/07/08	\$1,001 - \$15,000
SUMITOMO MITSUI FINL				S	01/07/08	\$1,001 - \$15,000
TELECOM ITALIA SPA				S	01/07/08	\$1,001 - \$15,000
TELEFONIC S A SPON ADR	\$1,001 - \$15,000	Dividends	\$1 - \$1,000			
TELEFONOS DE MEXICO SA SON ADR REPSTG SH ORD	\$1,001 - \$15,000	Dividends	\$1 - \$1,000			
TELMEX INTERNACIONAL ADR	\$1,001 - \$15,000	Dividends	\$1 - \$1,000			
TENET HEALTHCARE CORP				S	01/07/08	\$1,001 - \$15,000
UNILEVER N V ADR	\$1,001 - \$15,000	Dividends	\$1 - \$1,000			
VERIZON COMMUNICATIONS				PS	01/07/08	\$1,001 - \$15,000
				S	07/01/08	
WASHINGTON MUT INC				S	01/07/08	\$1,001 - \$15,000
WYETH				S	01/07/08	\$1,001 - \$15,000
				P	04/10/08	\$1 - \$1,000
				S	07/01/08	\$1,001 - \$15,000
XEROX				PS	01/07/08	\$1,001 - \$15,000
				S	07/01/08	\$1,001 - \$15,000
ABBOT LABORATORIES				P	Various	\$1,001 - \$15,000
				S	07/01/08	\$1,001 - \$15,000
ABERCROMBIE & FITCH CO CL A				PS	01/23/08	\$1 - \$1,000
				S	07/01/08	\$1,001 - \$15,000
ACE LTD				S	07/01/08	\$1,001 - \$15,000

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## Attachment for Schedules III and IV

AIRGAS INC.			P	06/23/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
AKAMAI TECH			P	06/19/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
ALLSTATE CORP			P	04/10/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
AMERICAN REPROGRAPHICS CO			S	01/23/08	\$1,001 - \$15,000
ANSYS INC			PS	05/30/08	\$1 - \$1,000
			S	07/01/08	\$1,001 - \$15,000
APPLE INC			S	06/10/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
ARENA RESOURCES INC.			P	06/24/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
ASTA FUNDING INC			S	04/10/08	\$1,001 - \$15,000
BAKER HUGHES INC			S	04/10/08	\$1,001 - \$15,000
BAXTER INTL INC			S	06/27/08	\$1 - \$1,000
BE AEROSPACE INC			P	04/29/08	\$1,001 - \$15,000
			S	05/30/08	\$1,001 - \$15,000
BERKLEY W R CORP			S	03/13/08	\$1,001 - \$15,000
CABOT OIL & GAS CP COM			PS	01/23/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
CASTLEPOINT HOLDINGS LTD ISIN			P	03/13/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
CENTRAL EUROPEAN DISTR CORP			PS	02/25/08	\$1 - \$1,000
			S	07/01/08	\$1,001 - \$15,000
CENTURY ALUMINUM CO			S	03/13/08	\$1,001 - \$15,000
CEPHALON INC			P	05/30/08	\$1 - \$1,000
			PS	07/01/08	\$1,001 - \$15,000
CHEVRON CORP NEW			P	04/10/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
CHUBB CORP			S	03/24/08	\$1,001 - \$15,000
CITRIX SYSTEMS INC			S	02/25/08	\$1,001 - \$15,000
COACH INC			PS	04/24/08	\$1 - \$1,000
			S	07/01/08	\$1,001 - \$15,000
COMMERCIAL METALS CO			PS	02/25/08	\$1,001 - \$15,000
			P	05/30/08	\$1 - \$1,000
			S	07/01/08	\$1 - \$1,000
COMTECH TELECOMMUNICATIONS CORP			S	07/01/08	\$1,001 - \$15,000
CONOCOPHILIPS			S	07/01/08	\$1,001 - \$15,000
CONSTELLATION BRANDS INC CL A			PS	04/10/08	\$1,001 - \$15,000
			P	05/29/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
CUMMINS INC. - FORMERLY CUMMINS ENGINE			P	04/28/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
DIRECTV GROUP INC			S	07/01/08	\$1,001 - \$15,000
DISCOVER FINL SVCS			S	07/01/08	\$1,001 - \$15,000
DONNELLEY R R & SONS			PS	04/10/08	\$1 - \$1,000
			S	07/01/08	\$1,001 - \$15,000
DU PONT E I DE NEMOURS & CO			S	7/1/2008	\$1,001 - \$15,000
EBAY INC			S	07/01/08	\$1,001 - \$15,000
ENCORE ACQUISITIONS CO			P	04/24/08	\$1 - \$1,000
			S	07/01/08	\$1,001 - \$15,000
ENERGEN CORP			S	07/01/08	\$1,001 - \$15,000
EVEREST REINSURANCE GROUP LTD			S	7/1/08	\$1,001 - \$15,000
EXPRESS SCRIPTS INC			PS	01/14/08	\$1 - \$1,000
			S	07/01/08	\$1,001 - \$15,000
FEDEX CORP			S	04/28/08	\$1,001 - \$15,000
FOREST LABORATORIES INC			S	06/23/08	\$1 - \$1,000
GAMESTOP CORP NEW CL A			P	04/14/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
GAP INC			S	07/01/08	\$1,001 - \$15,000
GARDNER DENVER INC			S	02/25/08	\$1 - \$1,000
			S	04/29/08	\$1,001 - \$15,000
GENENTECH INC			S	07/01/08	\$1,001 - \$15,000
GENLYTE GROUP INC			S	02/22/08	\$1,001 - \$15,000
GULFPORT ENERGY CORP			S	04/24/08	\$1,001 - \$15,000
HARMAN INTL INDS INC			S	04/10/08	\$1,001 - \$15,000
HARRIS CORP DEL			PS	04/10/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
HARTFORD FINL SVCS GROUP INC			S	07/01/08	\$1,001 - \$15,000
HEINZ H J CO			S	07/01/08	\$1,001 - \$15,000
HOST HOTELS & RESORTS INC			P	Various	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
HUNTINGTON BANCSHARES INC COM			S	03/28/08	\$1,001 - \$15,000
INTERNATIONAL BUSINESS MACHINES			P	04/10/08	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
INVENTIV HEALTH INC			PS	04/10/08	\$1 - \$1,000
			S	07/01/08	\$1 - \$1,000
KAISER ALUM CORP			P	Various	\$1,001 - \$15,000
			S	07/01/08	\$1,001 - \$15,000
KENEXA CORP	\$1,001 - \$15,000		PS	04/10/08	\$1 - \$1,000
			S	07/01/08	\$1 - \$1,000

## Attachment for Schedules III and IV

KLA-TENCOR CORP				S	07/01/08	\$1,001 - \$15,000
KIMBERLY CLARK CORP				P	04/10/08	\$1,001 - \$15,000
KOHL'S				S	07/01/08	\$1,001 - \$15,000
KRAFT FOODS INC				S	07/01/08	\$1,001 - \$15,000
L-3 COMMUNICATIONS HLDGS INC				P	04/10/08	\$1,001 - \$15,000
LABORATORY CORP AMER HLDGS COM				S	07/01/08	\$1,001 - \$15,000
LEHMAN BROS HLDGS CORP				P	03/28/08	\$1,001 - \$15,000
LIMITED BRANDS INC				S	07/01/08	\$1,001 - \$15,000
LUNDIN MNG CORP ISIN				PS	04/10/08	\$1 - \$1,000
MANPOWER INC				S	07/01/08	\$1 - \$1,000
MARATHON OIL				P	04/10/08	\$1,001 - \$15,000
MARINER ENERGY INC				S	07/01/08	\$1,001 - \$15,000
MATRIX SVC CO				P	06/06/08	\$1,001 - \$15,000
MATTEL INC				S	07/01/08	\$1,001 - \$15,000
MEDAREX INC				PS	04/10/08	\$1 - \$1,000
MEDICINES CO				S	07/01/08	\$1 - \$1,000
MEMC ELECTRONICS MATERIAL INC				S	07/01/08	\$1,001 - \$15,000
MONSTER WORLDWIDE INC.				PS	04/10/08	\$1 - \$1,000
MORGAN STANLEY				S	07/01/08	\$1,001 - \$15,000
MOTOROLA INC				S	07/01/08	\$1,001 - \$15,000
NASDAQ STK MKT INC				P	01/29/08	\$1,001 - \$15,000
NEWS CORP CL B				S	07/01/08	\$1,001 - \$15,000
NUANCE COMMUNICATIONS INC				PS	04/28/08	\$1 - \$1,000
ORACLE CORPORATION				S	06/19/08	\$1,001 - \$15,000
PFIZER INC				S	07/01/08	\$1,001 - \$15,000
PLAINS EXPL & PRODTN CO				S	07/01/08	\$1,001 - \$15,000
PRECISION CASTPARTS CORP				P	03/13/08	\$1,001 - \$15,000
PROCTER & GAMBLE CO				PS	04/24/08	\$1 - \$1,000
PROGRESS ENERGY INC				S	05/30/08	\$1,001 - \$15,000
QLOGIC				S	07/01/08	\$1,001 - \$15,000
RAYTHEON CO COM				S	07/01/08	\$1,001 - \$15,000
SANDISK				P	02/25/08	\$1 - \$1,000
SCHERING PLOUGH CORP				P	Various	\$1,001 - \$15,000
SCHLUMBERGER LTD				PS	05/30/08	\$1 - \$1,000
SMITH INTL INC				S	07/01/08	\$1,001 - \$15,000
SONIC CORP				S	07/01/08	\$1,001 - \$15,000
STAPLES INC				S	04/10/08	\$1 - \$1,000
STEEL DYNAMICS INC				S	07/01/08	\$1,001 - \$15,000
STEINER LEISURE LTD				P	Various	\$1,001 - \$15,000
SUPERIOR ENERGY SERVICES INC				S	05/30/08	\$1,001 - \$15,000
TEREX CORP				PS	04/10/08	\$1 - \$1,000
TEXAS INSTRUMENTS INC				S	07/01/08	\$1 - \$1,000
THERMO FISHER SCIENTIFIC INC				PS	04/14/08	\$1 - \$1,000
TRIMBLE NAV LTD				S	07/01/08	\$1,001 - \$15,000
TRINITY INDS INC DEL FRMLY TEXAS				S	02/05/08	\$1 - \$1,000
UNITED HEALTH GROUP				PS	7/1/2008	\$1,001 - \$15,000
UNIT CORP				S	4/10/2008	\$1 - \$1,000
URBAN OUTFITTERS INC				S	07/01/08	\$1 - \$1,000
WACHOVIA CORP				PS	07/01/08	\$1,001 - \$15,000

## Attachment for Schedules III and IV

WADDELL & REED				PS	03/13/08	\$1 - \$1,000
				PS	05/30/08	\$1 - \$1,000
				S	07/01/08	\$1,001 - \$15,000
WASTE MANAGEMENT INC				S	07/01/08	\$1,001 - \$15,000
WESCO INTERNATIONAL INC				PS	04/10/08	\$1 - \$1,000
				S	07/01/08	\$1 - \$1,000
XILINX INC				PS	01/14/08	\$1 - \$1,000
				S	07/01/08	\$1,001 - \$15,000
ZIMMER HLDGS INC				S	07/01/08	\$1,001 - \$15,000
ADVANTAGE ENERGY INCOME FUND	\$1,001 - \$15,000	Dividend	\$1 - \$1,000			
AMERICAN CAPITAL LTD	\$1,001 - \$15,000	None	None			
APOLLO INVESTMENT CORP	\$1,001 - \$15,000	Dividend	\$1,001 - \$15,000			
COVIDIEN LTD	\$1,001 - \$15,000	Dividend	\$1 - \$1,000			
ENTERPRISE PRODUCTS PARTNERS	\$1,001 - \$15,000	Dividend	\$1,001 - \$15,000			
MERGE HEALTHCARE INC	\$1,001 - \$15,000	None	None			
TYCO ELECTRONICS	\$1,001 - \$15,000	Dividend	\$1 - \$1,000			
TYCO INTL LTD	\$1,001 - \$15,000	Dividend	\$1 - \$1,000			
USTARCOM INC	\$1,001 - \$15,000	None	None			